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PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted with Initial Filing      OR       Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	131.1028.01	
First Named Inventor	Trinh, Vincent et al	
<b>COMPLETE IF KNOWN</b>		
Application Number	09	/ 546,055
Filing Date	April 10, 2000	
Group Art Unit	Not Yet Assigned	
Examiner Name	Not Yet Assigned	

As a below named Inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method, Apparatus & Computer Program Product For Synchronizing Presentation of Digital Video Data With Serving Of Digital Video Data

the specification of which

(Title of the Invention)

is attached hereto

OR

was filed on (MM/DD/YYYY)  as United States Application Number or PCT International

Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → 

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:  Customer Number  →  Place Customer Number Bar Code Label here  
 OR  
 Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number

Additional registered practitioner(s) named on supplemental priority data sheet PTO/SB/02C attached hereto.

Direct all correspondence to:  Customer Number  or Bar Code Label  Correspondence address below

Name	22883		
Address			
Address			
City	State	ZIP	
Country	Telephone	Fax	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:	<input type="checkbox"/> A petition has been filed for this unsigned inventor							
Given Name (first and middle if any)				Family Name or Surname				
Vincent				Trinh				
Inventor's Signature								
Residence: City	Pleasanton	State	CA	Country	USA	Date	May 8, 2000	
Post Office Address	4267 Lucero Court							
Post Office Address								
City	Pleasanton	State	CA	ZIP	Country	USA		

Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

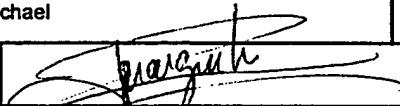
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PTO/SB/02A (3-97)

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<b>DECLARATION</b>		<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>1</u>
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<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
<b>Given Name (first and middle [if any])</b>				<b>Family Name or Surname</b>				
Michael				Ignaszewski				
<b>Inventor's Signature</b>							<b>Date</b>	<u>5/5/2000</u>
<b>Residence: City</b>	Milpitas	<b>State</b>	CA	<b>Country</b>	USA	<b>Citizenship</b>	France	
<b>Post Office Address</b>	355 Fairview Way							
<b>Post Office Address</b>								
<b>City</b>	Milpitas	<b>State</b>	CA	<b>ZIP</b>		<b>Country</b>	USA	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
<b>Given Name (first and middle [if any])</b>				<b>Family Name or Surname</b>				
Jacques				Mahe				
<b>Inventor's Signature</b>							<b>Date</b>	
<b>Residence: City</b>	Fremont	<b>State</b>	CA	<b>Country</b>	USA	<b>Citizenship</b>	France	
<b>Post Office Address</b>	39939 Stevenson Cmn, Apt. J3056							
<b>Post Office Address</b>								
<b>City</b>	Fremont	<b>State</b>	CA	<b>ZIP</b>		<b>Country</b>	USA	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
<b>Given Name (first and middle [if any])</b>				<b>Family Name or Surname</b>				
<b>Inventor's Signature</b>							<b>Date</b>	
<b>Residence: City</b>		<b>State</b>		<b>Country</b>		<b>Citizenship</b>		
<b>Post Office Address</b>								
<b>Post Office Address</b>								
<b>City</b>		<b>State</b>		<b>ZIP</b>		<b>Country</b>		

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → **[+]**

PTO/SB/02A (3-97)  
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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<b>DECLARATION</b>		<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>1</u>	
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<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])		Family Name or Surname						
Michael		Ignaszewski						
Inventor's Signature							Date	
Residence: City	Milpitas	State	CA	Country	USA	Citizenship	France	
Post Office Address	355 Fairview Way							
Post Office Address								
City	Milpitas	State	CA	ZIP	Country	USA		
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])		Family Name or Surname						
Jacques		Mahe						
Inventor's Signature							Date	
Residence: City	Fremont	State	CA	Country	USA	Citizenship	France	
Post Office Address	39939 Stevenson Cmn, Apt. J3056							
Post Office Address								
City	Fremont	State	CA	ZIP	Country	USA		
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])		Family Name or Surname						
Inventor's Signature							Date	
Residence: City		State		Country		Citizenship		
Post Office Address								
Post Office Address								
City		State		ZIP		Country		

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/81 (11-96)

Approved for use through 6/30/99. OMB 0651-0035

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT,  
NOT ACCOMPANYING  
APPLICATION**

Application Number	09/546,055
Filing Date	April 10, 2000
First Named Inventor	Trinh, Vincent et al
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	131.1028.01

I hereby appoint:

Practitioners at Customer Number

→

22883

OR

Practitioner(s) named below:

PATENT TRADEMARK OFFICE

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

The above-mentioned Customer Number.

OR

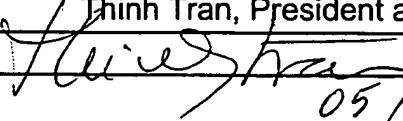
<input type="checkbox"/> Firm or Individual Name			
Address			
Address			
City	State	ZIP	
Country			
Telephone	Fax		

I am the:

Applicant.

Assignee of record of the entire interest  
Certificate under 37 CFR 3.73(b) is enclosed

**SIGNATURE of Applicant or Assignee of Record**

Name	Thinh Tran, President and CEO
Signature	
Date	05/08/2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Trinh, Vincent et alApplication No./Patent No.: 09/546,055 Filed/Issue Date: April 10, 2000Entitled: Method, Apparatus & Computer Program Product For Synchronizing Presentation Of Digital Video Data With Serving Of Digital Video DataSigma Designs, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; or
2.  an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below.

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

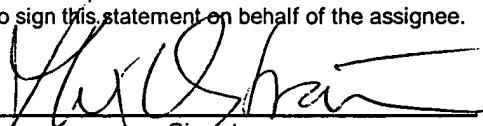
Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

05/08/2000

Date



Signature

Thinh Tran

Typed or printed name

President and CEO

Title

**COPY**

22883

131.1028.01

PTENT TRADEMARK OFFICE  
**ASSIGNMENT**

WHEREAS, We, Vincent Trinh, a citizen of Canada, residing at 4267 Lucero Court, Pleasanton, CA, Michael Ignaszewski, a citizen of France, residing at 355 Fairview Way, Milpitas, CA, and Jacques Mahe, a citizen of France, residing at 39939 Stevenson Cmn, Apt. J3056, Fremont, CA, have invented a **"Method, Apparatus & Computer Program Product For Synchronizing Presentation Of Digital Video Data With Serving Of Digital Video Data"** for which I have executed application papers for a U.S. patent thereon, which was filed on April 10, 2000, Serial No. 09/546,055; and

WHEREAS, Sigma Designs, Inc., a California corporation, having a place of business at 355 Fairview Way, Milpitas, CA 95035, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Vincent Trinh, Ignaszewski, Michael, and Jacques Mahe do sell, assign, transfer and set over unto the said Sigma Designs, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Sigma Designs, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Milpitas, this 8 day of  
May, 2000.

V. Trin

May 8<sup>th</sup>  
2000

Vincent Trinh

Michael Ignaszewski

Jacques Mahe

**COPY**

22883

131.1028.01

# ASSIGNMENT

WHEREAS, We, Vincent Trinh, a citizen of Canada, residing at 4267 Lucero Court, Pleasanton, CA, Michael Ignaszewski, a citizen of France, residing at 355 Fairview Way, Milpitas, CA, and Jacques Mahe, a citizen of France, residing at 39939 Stevenson Cmn, Apt. J3056, Fremont, CA, have invented a **"Method, Apparatus & Computer Program Product For Synchronizing Presentation Of Digital Video Data With Serving Of Digital Video Data"** for which I have executed application papers for a U.S. patent thereon, which was filed on April 10, 2000, Serial No. 09/546,055; and

WHEREAS, Sigma Designs, Inc., a California corporation, having a place of business at 355 Fairview Way, Milpitas, CA 95035, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto:

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Vincent Trinh, Ignaszewski, Michael, and Jacques Mahe do sell, assign, transfer and set over unto the said Sigma Designs, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Sigma Designs, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Milpitas, this 5 day of  
May, 2000.

Vincent Trinh

Jacques Mahe

**COPY**

22883

131.1028.01

PATENT TRADEMARK OFFICE  
**ASSIGNMENT**

WHEREAS, We, Vincent Trinh, a citizen of Canada, residing at 4267 Lucero Court, Pleasanton, CA, Michael Ignaszewski, a citizen of France, residing at 355 Fairview Way, Milpitas, CA, and Jacques Mahe, a citizen of France, residing at 39939 Stevenson Cmn, Apt. J3056, Fremont, CA, have invented a "Method, Apparatus & Computer Program Product For Synchronizing Presentation Of Digital Video Data With Serving Of Digital Video Data" for which I have executed application papers for a U.S. patent thereon, which was filed on April 10, 2000, Serial No. 09/546,055; and

WHEREAS, Sigma Designs, Inc., a California corporation, having a place of business at 355 Fairview Way, Milpitas, CA 95035, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Vincent Trinh, Ignaszewski, Michael, and Jacques Mahe do sell, assign, transfer and set over unto the said Sigma Designs, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Sigma Designs, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Milpitas, this 8 day of  
May, 2000.

\_\_\_\_\_  
Vincent Trinh

\_\_\_\_\_  
Michael Ignaszewski

\_\_\_\_\_  
Jacques Mahe

*J-9-00*  
*JM*



010638/0574 PAGE 2

SEDLEY PYNE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

131.1028.01

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

04-25-2001



U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

101670658

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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

New  
 Resubmission (Non-Recordation)  
 Document ID#   
 Correction of PTO Error  
 Reel #  Frame #   
 Corrective Document  
 Reel #  Frame #

**Conveyance Type**

Assignment  Security Agreement  
 License  Change of Name  
 Merger  Other   
**U.S. Government**  
 (For Use ONLY by U.S. Government Agencies)  
 Departmental File  Secret File

**Conveying Party(ies)**

Mark if additional names of conveying parties attached Execution Date  
Month Day Year

05 08 00

**Second Party**

Name (line 1) Ignaszewski, Michael

Execution Date  
Month Day Year

05 05 00

Name (line 2)

**Receiving Party**

Mark if additional names of receiving parties attached

If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic  
representative is attached.  
(Designation must be a  
separate document from  
Assignment.)

Name (line 1) Sigma Designs, Inc.

Name (line 2) a Corporation of California

Address (line 1) 355 Fairview Way

Address (line 2)

Address (line 3) Milpitas  
City

CA  
State/Country

95035  
Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name Merchant, John C.

Address (line 1) Swernofsky Law Group

Address (line 2) P.O.Box 390013

Address (line 3) Mountain View, CA 94039-0013

Address (line 4) United States

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Mail documents to be recorded with required cover sheet(s) information to:

Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

131,1028.01

FORM PTO-1619B  
Expires 06/30/99  
OMB 0651-0027

Pag 2

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Correspondent Name and Address Area Code and Telephone Number 650.947.0700

Name Merchant, John C. Reg. No 45,996

Address (line 1) Swernofsky Law Group

Address (line 2) P.O.Box 390013

Address (line 3) Mountain View, CA 94039-00

Address (line 4) United States

Pages Enter the total number of pages of the attached conveyance document # 3

Application Number(s) or Patent Number(s)  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09548055						

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

Patent Cooperation Treaty (PCT)

PCT  PCT  PCT 

Enter PCT application number

only if a U.S. Application Number

has not been assigned.

PCT  PCT 

Number of Properties

Enter the total number of properties involved. # 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed  Deposit Account 

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 50-0365

Authorization to charge additional fees: Yes  No 

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John C. Merchant

Name of Person Signing



Signature

5-17-00

Date

131.1028.01

FORM PTO-1619C  
Expires 06/30/99  
OMB 0651-0027

**RECORDATION FORM COVER SHEET**  
**CONTINUATION**  
**PATENTS ONLY**

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)***Enter additional Conveying Parties* *Mark if additional names of conveying parties attached*Execution Date  
Month Day Year

05 08 00

Name (line 1) Name (line 2) Name (line 1) Name (line 2) Name (line 1) Name (line 2) Execution Date  
Month Day YearExecution Date  
Month Day YearExecution Date  
Month Day Year**Receiving Party(ies)***Enter additional Receiving Party(ies)* *Mark if additional names of receiving parties attached*Name (line 1) 

If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic representative  
is attached. (Designation  
must be a separate  
document from  
Assignment.)

Name (line 2) Address (line 1) Address (line 2) Address (line 3) 

City

State/Country

Zip Code

Name (line 1) 

If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic representative  
is attached. (Designation  
must be a separate  
document from  
Assignment.)

Name (line 2) Address (line 1) Address (line 2) Address (line 3) 

City

State/Country

Zip Code

**Application Number(s) or Patent Number(s)***Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).* *Mark if additional numbers attached***Patent Application Number(s)****Patent Number(s)**

<input type="text"/>	<input type="text"/>	<input type="text"/>
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